

09/091333

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Therese Lilly
Type or print name

Therese Lilly
Signature

OCT 21 1998

Date

Form PTO-1390-MOD (REV 10-96)	U. S. Department of Commerce Patent and Trademark Office	ATTORNEY'S DOCKET NUMBER PI/5-20691/A/PCT
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/091,333
INTERNATIONAL APPLICATION NO. EP/96/05564	INTERNATIONAL FILING DATE 12 December 1996 (12.12.96)	PRIORITY DATE CLAIMED 21 December 1995 (21.12.95)
TITLE OF INVENTION PROCESS FOR THE PREPARATION OF 2-CHLORO-5-CHLOROMETHYL-THIAZOLE		
APPLICANT(S) FOR DO/EO/US O'SULLIVAN ET AL.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - is transmitted herewith (required only if not transmitted by the International Bureau).
 - has been transmitted by the International Bureau.
 - is not required, as the application was filed in the United States Receiving Office (RO/US).
- A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - are transmitted herewith (required only if not transmitted by the International Bureau).
 - have been transmitted by the International Bureau.
 - have not been made; however, the time limit for making such amendments has NOT expired.
 - have not been made and will not be made.
- A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- An executed Declaration and Power of Attorney (original) (35 U.S.C. 371(c)(4)).
- A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- A **FIRST** preliminary amendment.
- A **SECOND** or **SUBSEQUENT** preliminary amendment.
- A substitute specification.
- A change of power of attorney and/or address letter.

10/29/1998 PULPE 00000001 190134 09091333
Sale Ref: 00000006 Date: 190134 Form: 09091333
01 FC:154 130.00 CH

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/091,333	INTERNATIONAL APPLICATION NO. EP/96/05564	ATTORNEY'S DOCKET NUMBER PL/5-20691/A/PCT																				
<p>17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</p> <table> <tr> <td>Search Report has been prepared by the EPO or JPO</td> <td>\$930</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482)</td> <td>\$720</td> </tr> <tr> <td>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))</td> <td>\$790</td> </tr> <tr> <td>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....</td> <td>\$1,070</td> </tr> <tr> <td>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).</td> <td>\$98</td> </tr> </table>		Search Report has been prepared by the EPO or JPO	\$930	International preliminary examination fee paid to USPTO (37 CFR 1.482)	\$720	No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))	\$790	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....	\$1,070	International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).	\$98	CALCULATIONS PTO USE ONLY										
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ENTER APPROPRIATE BASIC FEE AMOUNT = \$																						
Surcharge of \$130 for furnishing the oath of declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$ 130																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> <th></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>0</td> <td>- 20 =</td> <td>X \$ 22</td> <td>\$</td> </tr> <tr> <td>Independent claims</td> <td>0</td> <td>- 3 =</td> <td>X \$.82</td> <td>\$</td> </tr> <tr> <td>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td></td> <td>+ \$ 270</td> <td>\$</td> </tr> </tbody> </table>			CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	0	- 20 =	X \$ 22	\$	Independent claims	0	- 3 =	X \$.82	\$	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 270	\$
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TOTAL OF ABOVE CALCULATIONS =		\$ 130																				
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).																						
SUBTOTAL =		\$ 130																				
Processing fee of \$130 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).																						
TOTAL NATIONAL FEE =		\$ 130																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property																						
TOTAL FEES ENCLOSED =		\$ 130																				
		Amount to be: refunded \$																				
		charged \$																				
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge Deposit Account No. 19-0134 in the amount of \$130 to cover the above fees. Two duplicate copies of this form are enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0134.</p>																						
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>Send all correspondence to the address associated with Customer No. 001095, which is currently:</p> <p>Michael W. Glynn Novartis Corporation Patent and Trademark Dept. 564 Morris Avenue Summit, NJ 07901-1027</p>																						
 Gregory D. Ferraro Attorney for Applicants Reg. No. 36,134 (908) 522-6923																						